



IPOL-0002  
035838-023  
(formerly 19567-05719)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Pankaj S. Parekh, et al.  
SERIAL NO.: 10/052,745  
FILING DATE: January 17, 2002  
TITLE: ARCHITECTURE FOR AN INTEGRATED POLICY ENFORCEMENT  
SYSTEM  
EXAMINER: (to be assigned)  
ART UNIT: 2161

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JUN 04 2004

Technology Center 2100

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**DECLARATION AND CONSENT OF ASSIGNEE**

I hereby declare that:

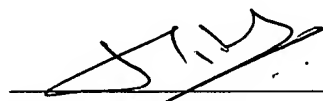
I am authorized to act on behalf of the Assignee, iPolicy Networks, Inc..

The entire title to the patent application identified above is vested in the Assignee as evidenced by the attached Assignment, which was recorded at Reel 012930, Frame 0993.

I hereby revoke any Powers of Attorney previously given in this matter and appoint the following attorneys to prosecute the above-identified patent application and to transact all business in the Patent and Trademark Office connected therewith:

Robert E. Krebs, Registration No. 25,885; David B. Ritchie, Registration No. 31,562; Marc S. Hanish, Registration No. 42,626; John P. Schaub, Registration No. 42,125; Adrienne Yeung, Registration No. 44,000; Steven J. Robbins, Registration No. 40,299; Thierry K. Lo, Registration No. 49,097; William Samuel Niece, Registration No.: 47,824; Khaled Shami, Registration No. 38,745; William E. Winters, Registration No. 42,232, Masako Ando, (37 C.F.R. §10.9 (b)); and John Klaas Uilkema, Registration No. 20,282; Becky L. Troutman, Registration No. 36,703; Hal J. Bohner, Registration No. 27,856

Dated: MAY 14, 2004

  
Name: Hitesh Shah  
Title: Chief Financial Officer  
iPolicy Networks, Inc.



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CMT  
571903

JULY 29, 2002

FENWICK & WEST LLP  
CHRISTOPHER M. TOBIN, ESQ.  
TWO PALO ALTO SQUARE  
PALO ALTO, CA 94306

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov



\*102108307A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/29/2002

REEL/FRAME: 012930/0993  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
PAREKH, PANKAJ

DOC DATE: 03/07/2002

ASSIGNOR:  
VIADYA, VIMAL

DOC DATE: 03/20/2002

ASSIGNOR:  
GUPTA, SANDEEP

DOC DATE: 03/08/2002

ASSIGNOR:  
SHAN, PRANAV

DOC DATE: 05/13/2002

ASSIGNEE:  
IPOLICY NETWORKS, INC.  
47467 FREMONT BOULEVARD  
FREMONT, CALIFORNIA 94538

SERIAL NUMBER: 10052745  
PATENT NUMBER:

FILING DATE: 01/17/2002  
ISSUE DATE:

Fenwick & West

AUG 05 2002

Received

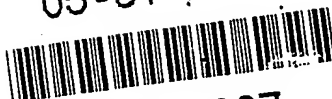
012930/0993 PAGE 2

SEDLEY PYNE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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To the Honorable Commissioner

05-31-2002



102108307

FEET

U.S. DEPARTMENT OF COMMERCE

U.S. Patent And Trademark Office

Please record the attached original documents or copy thereof:

## 1. Name of conveying parties:

Pankaj Parekh, Vimal Vaidya, Sandeep Gupta and Pranav Shan

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

## 3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Execution Date: 3/7/02, 3/20/02, 3-8-02 &amp; 5-13-02

## 2. Name and address of receiving party:

Name: IPolicy Network, Inc.

Internal Address:

Street Address: 47467 Fremont Boulevard

City: Fremont

State: CA Zip: 94538

Additional name(s) &amp; address(es) attached?

☐ Yes☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.: 10/052,745

B. Patent No.(s):

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Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christopher M. Tobin, Esq.

Internal Address: Fenwick &amp; West LLP

Street Address: Two Palo Alto Square

City: Palo Alto State: CA Zip Code: 94306

## 6. Total number of applications and patents involved: [ 1 ]

7. Total fee (37 CFR 3.41): \$40.00

☒ Check Enclosed☐ Fee Transmittal Enclosed☐ Charge the indicated fees to the below mentioned deposit account.

## 8. Deposit Account No.:

DO NOT USE THIS SPACE

## 9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Christopher M. Tobin / 40,290

Name of Person Signing

Signature

May 17, 2002

Date

Total number of pages including cover sheet, attachments, documents: [ 6 ]

Mail documents to be recorded with required cover sheet information to: Box Assignment, Commissioner For Patents and Trademarks, Washington, D.C. 20231

Case Docket No.: 19567-05719

05/30/2002 TDIAZ1 00000140 10052745

19567/05719/DOCS/1249989.

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) named below (referred to as "INVENTOR" whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to IPolicy Networks, Inc., a Delaware corporation, having a place of business at 47467 Fremont Blvd., Fremont, California 94538, ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

1. The entire worldwide right, title, and interest in all inventions and improvements ("SUBJECT MATTER") that are disclosed in the provisional application filed under 35 U.S.C. §111(b) or non-provisional application filed under 35 U.S.C. §111(a) and entitled **Architecture For An Integrated Policy Enforcement System** ("APPLICATION"), which:

- ☐ is to be filed herewith  
☒ was filed on January 17, 2002,  
now bearing U.S. application number 10/052,745; and

2. The entire worldwide right, title, and interest in and to:  
(a) the APPLICATION; (b) all applications claiming priority from the APPLICATION;  
(c) all utility, divisional, continuation, substitute, renewal, reissue, and other related applications thereto which have been or may be filed in the United States or elsewhere in the world; (d) all patents (including reissues and re-examinations) which may be granted on the applications set forth in (a), (b), (c) and (d) above; and (e) all right of priority in the APPLICATION, together with all rights to recover damages for infringement of provisional rights.

INVENTOR agrees that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR agrees to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR has the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has not made and will not hereafter make any assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

INVENTOR grants the attorney of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

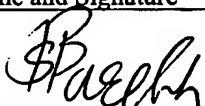
Title of Document: ASSIGNMENT

Re:

Title: Architecture For An Integrated Policy Enforcement System

Filed: January 17, 2002

Application No.: 10/052,745

Name and Signature _____  _____ <b>PANKAJ S. PAREKH</b>	Date of Signature _____ 3/7, 2002	Date Declaration Executed By This Person _____ _____, 2002
--	--	---

State of California } S.S.  
County of \_\_\_\_\_ }

On \_\_\_\_\_ before me, \_\_\_\_\_ personally  
[DATE] [NOTARY PUBLIC]

appeared PANKAJ S. PAREKH, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Notary Seal

\_\_\_\_\_  
Notary

Name and Signature _____ _____ <b>VIMAL VAIDYA</b>	Date of Signature _____ _____, 2002	Date Declaration Executed By This Person _____ _____, 2002
---	--	---

State of \_\_\_\_\_ } S.S.  
County of \_\_\_\_\_ }

On \_\_\_\_\_ before me, \_\_\_\_\_ personally  
[DATE] [NOTARY PUBLIC]

appeared VIMAL VAIDYA, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Notary Seal

\_\_\_\_\_  
Notary

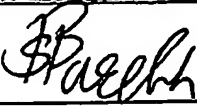
Title of Document: ASSIGNMENT

Re:

Title: Architecture For An Integrated Policy Enforcement System

Filed: January 17, 2002

Application No.: 10/052,745

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
 PANKAJ S. PAREKH	3/7, 2002	, 2002


State of California } S.S.  
County of }

On \_\_\_\_\_ before me, \_\_\_\_\_ personally  
[DATE] [NOTARY PUBLIC]

appeared PANKAJ S. PAREKH, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Notary Seal

Notary

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
 VIMAL VAIDYA	3/20, 2002	, 2002

State of \_\_\_\_\_ } S.S.  
County of \_\_\_\_\_ }

On \_\_\_\_\_ before me, \_\_\_\_\_ personally  
[DATE] [NOTARY PUBLIC]

appeared VIMAL VAIDYA, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Notary Seal

Notary

## PATENT

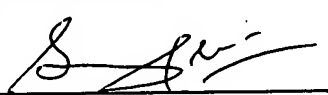
Title of Document: ASSIGNMENT

Re:

Title: Architecture For An Integrated Policy Enforcement System

Filed: January 17, 2002

Application No.: 10/052,745

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
	3/08/2002	2002
<b>SANDEEP GUPTA</b>		

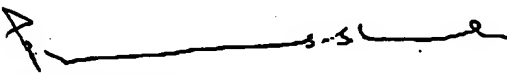
State of California } S.S.  
 County of \_\_\_\_\_ }

On \_\_\_\_\_ before me, \_\_\_\_\_ personally  
 [DATE] [NOTARY PUBLIC]

appeared SANDEEP GUPTA, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Notary Seal

Notary

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
	2002	2002
<b>PRANAV SHAH</b>		

State of \_\_\_\_\_ } S.S.  
 County of \_\_\_\_\_ }

On \_\_\_\_\_ before me, \_\_\_\_\_ personally  
 [DATE] [NOTARY PUBLIC]

appeared PRANAV SHAH, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Notary Seal

Notary



Title of Document: ASSIGNMENT

Re:

Title: Architecture For An Integrated Policy Enforcement System

Filed: January 17, 2002

Application No.: 10/052,745

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
_____	_____, 2002	_____, 2002
<b>SANDEEP GUPTA</b>		


State of California } S.S.  
 County of \_\_\_\_\_ }

On \_\_\_\_\_ before me, \_\_\_\_\_ personally  
 [DATE] [NOTARY PUBLIC]

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Notary Seal

Notary

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
	<u>5/13/</u> , 2002	<u>March 6, 2002</u>
<b>PRANAV SHAH</b>		

State of \_\_\_\_\_ } S.S.  
 County of \_\_\_\_\_ }

On \_\_\_\_\_ before me, \_\_\_\_\_ personally  
 [DATE] [NOTARY PUBLIC]

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Notary Seal

Notary